

DATA PROTECTION NOTICE

This Data Protection Notice sets out the basis which VALUE QUEST SECURITIES CORP. may collect, use, disclose or otherwise process personal data of our customers in accordance with the Data Privacy Act of 2012 (DPA).

AUTHORIZATION AND CONSENT

1. “Customer” means an individual who has entered into a contract with us to engage in the purchase or sale of securities through the facilities of the Philippine Stock Exchange Inc.
2. Some examples of personal data which we may collect from you include your name and identification information such as your address, contact number, email address, nationality, gender, date and place of birth, status, occupation, employment and business information. Social security and other government identification numbers and financial information such as bank account information.
3. We generally do not collect your personal data unless it is provided to us voluntarily by you directly or via third party who has been duly authorized by you to disclose your personal data to us after you or your authorized representative have been notified of the purposes for which the data is collected. We shall seek your consent before collecting any additional personal data and before using your personal data for a purpose which has not been notified to you, except where permitted or authorized by law.
4. We may collect and use your personal data for any or all of the following purposes:
 - a. performing obligations in the course of or in connection with the provision of the services requested by you.
 - b. verifying your identity.
 - c. responding to, handling, and processing queries, requests, applications, complaints, and feedback from you.
 - d. managing your relationship with us.
 - e. processing payment or credit transactions.
 - f. complying with any applicable laws, regulations, code of practice, guidelines or rules or to assist in enforcement and investigations conducted by any governmental and/or regulatory authority.
 - g. any other incidental business purposes related to or in connection with the above.
5. We may disclose your personal data
 - a. where such is requires for performing obligations in the course of or in connection with our

provision of services requested by you; or
b. to third party service providers, agents, and other organizations we have engaged to perform any of the functions listed in clause 4 above for us.

6. You warrant that all personal data given to VALUE QUEST SECURITIES CORP. are true and correct to the best of your knowledge, freely and voluntarily given for the purposes which are relevant and necessary in providing our services to you.
7. You explicitly authorize VALUE QUEST SECURITIES CORP., its directors, officers, consultants, employees and duly authorized representatives to keep, store, update, use, access, process, and enter in the processing system the data given to it, and to share transfer or disclose the data to third parties such as but not limited to outsourced service providers, banks, external auditors, local and foreign authorities for purposes of tax monitoring, review, and reporting, compliance with court and other lawful order and requirements, with the Securities Regulation Code, with Anti-Money Laundering Act, and all other regulatory laws and all other activities consistent with the provisions of the Data Privacy Act subject to appropriate security safeguard.
8. The consent that you provide for the collection, use and disclosure of your personal data will remain valid until such time it is withdrawn by you in writing.
9. You have the right to access your given information and you undertake to correct, rectify or supplement information should any data be found to be inaccurate or incomplete.
10. You agree to release and hold free and harmless VALUE QUEST SECURITIES CORP. its directors, officers, and employees from any liability that may arise as a result of the authorization given.

I acknowledge that I have read and understood the above Data Protection Notice, and consent to the collection, use and disclosure of my personal data by VALUE QUEST SECURITIES CORP. for the purposes set out in the Notice.

Client : _____

Signature over printed Name

Date: _____